

11-30-01

PTO/SB/05 (03-01)

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11/27/01

j9966 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	PB 00 0067
First Inventor	Donald G. Lemley, et al.
Title	PACKET VOICE GATEWAY
Express Mail Label No.	ET 792 690 351 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 9]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 3 ]

5. Oath or Declaration [ Total Pages 3 ]

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation    Divisional    Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information. Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)		<input checked="" type="checkbox"/> Correspondence address below
Name	Kenneth J. Rudofski, Tellabs Operations, Inc.		
Address	1415 West Diehl Road, MS 16		
City	Naperville	State	Illinois
Country	United States	Telephone	630-798-3021
Name (Print/Type)	Kenneth J. Rudofski		Registration No. (Attorney/Agent) 35,997
Signature			Date NOV. 27, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 740.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Donald G. Lemley, et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	PB 000067

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	500-654
Deposit Account Name	Tellabs Operations, Inc.

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00		
106 330	206 165	Design filing fee			
107 510	207 255	Plant filing fee			
108 740	208 370	Reissue filing fee			
114 160	214 80	Provisional filing fee			

SUBTOTAL (1) (\$ 740.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims	Fee from below	Fee Paid
1 -20** =	X =	-0-
1 -3** =	X =	-0-
Multiple Dependent		

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ -0-)

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

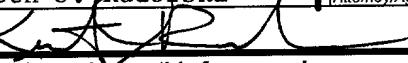
## Large Entity/Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

Complete if applicable

SUBMITTED BY			
Name (Print/Type)	Kenneth J. Rudofski	Registration No. (Attorney/Agent)	35,997
Signature		Telephone	630-798-3021
		Date	Nov. 27, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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November 27, 2001

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1415 West Diehl Road  
Naperville, IL 60563 U.S.A.  
Tel: +1.630.378.8800  
Fax: +1.630.798.3231

Tellabs Docket No.: PB 00 0067

## BOX PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Presented for filing is a patent application of:

Applicants: Donald G. Lemley Timothy J. Doiron  
Kenneth G. Craft Alan S. Nakamoto  
William Kautz

## Title: PACKET VOICE GATEWAY

Enclosed are the following papers, including those required to receive a filing date under 37 CFR § 1.53(b):

- a. Utility Patent Application Transmittal including Specification  
(9 pages and 3 drawing sheets)
- b. Fee Transmittal (in duplicate)
- c. Postage Paid Return Receipt Postcard
- d. Certificate of Mailing by "Express Mail" (ET 792 690 351 deposited 11/27/01)
- e. Declaration for Utility or Design Patent Application (37 CFR 1.63) (unexecuted)

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

Kenneth J. Rudofski  
Tellabs Operations, Inc.  
1415 West Diehl Road, MS 16  
Naperville, IL 60563

Respectfully submitted,



Kenneth J. Rudofski  
Director, Intellectual Property

## Enclosures

ASST. COMMISSIONER FOR PATENTS

TELLABS DOCKET NO. PB 00 0067

RE: APPLICANT - TELLABS OPERATIONS, INC. (LEMLEY, ET AL)

TITLE: PACKET VOICE GATEWAY

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- Utility Patent Application Transmittal including Specification (9 pages and 3 drawing sheets)
- Fee Transmittal for FY 2002 (in duplicate)
- Declaration for Utility or Design Patent Application - unexecuted 3 pages
- Certificate of Mailing by "Express Mail"
  - Label Number: ET 792 690 351 US
  - Deposit Date: November 27, 2001
- Postage Paid Return Receipt Post Card
- Transmittal Letter
- Fee to be withdrawn from Deposit Account

I hereby certify that the above-identified and attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below, and are addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 (Express Mail No. ET 792 690 351 US).

Date: 1100 27, 2001

By:

Janet Missig  
Janet Missig

**UTILITY PATENT APPLICATION**

**TO ALL WHOM IT MAY CONCERN:**

Be it known that we, Donald G. Lemley, a resident of Aurora, Illinois, USA, Kenneth G. Craft, a resident of Naperville, Illinois, USA, William Kautz, a resident of St. Charles, Illinois, USA, Timothy J. Doiron, a resident of Aurora, Illinois, USA, and Alan S. Nakamoto, a resident of Naperville, Illinois, USA, have invented new and useful

**PACKET VOICE GATEWAY**

of which the following is a specification.

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Nov. 27, 2001  
Date

By : Janet Missig  
Janet Missig

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: )  
Donald G. Lemley )  
Kenneth G. Craft )  
William Kautz )  
Timothy J. Doiron )  
Alan S. Nakamoto )  
)

Serial No.: To Be Assigned )  
)

Filed: )  
)

For: PACKET VOICE GATEWAY )  
)

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Dear Sir:

"Express Mail" Mailing Label Number ET 792 690 351 US

Date of Deposit: November 27, 2001

Patent Application of: **Donald G. Lemley**  
Aurora, Illinois 60506 USA

**Kenneth G. Craft**  
Naperville, Illinois 60565 USA

**William Kautz**  
St. Charles, Illinois 60174 USA

**Timothy J. Doiron**  
Aurora, Illinois 60504 USA

and

**Alan S. Nakamoto**  
Naperville, Illinois 60565 USA

BOX PATENT APPLICATION

November 27, 2001

Page 2

Tellabs Docket No.: PB 00 0067

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Date: Nov. 27, 2001

By: Janet Missig  
Janet Missig